



WEN FUTURES

Wen Futures Capital

A. ACCREDITED INVESTOR STATUS

As one of the qualifications of being an accredited investor, the undersigned has the financial ability to bear the economic risk of the undersigned's investment and has adequate means for providing for the undersigned's current needs and possible personal and other contingencies. Please indicate by ticking one or more of the following categories which are applicable to you. If no category is applicable, please check Number 6, "None."

- 1. Any natural person whose individual net worth, or joint net worth with that person's spouse, at the time of such investor's purchase, exceeds \$1,000,000 (excluding the value of your primary residence);
- 2. Any natural person who had an individual income in excess of \$200,000 in each of the two most recent years or joint income with that person's spouse in excess of \$300,000 in each of those years and has a reasonable expectation of reaching the same income level in the current year;
- 3. Any natural person holding one or more of the following licenses *in good standing*²: (i) the General Securities Representative license (Series 7); (ii) the Private Securities Offerings Representative license (Series 82); or (iii) the Investment Adviser Representative license (Series 65);
- 4. Any natural person that is a "family client" (as defined in Rule 202(a)(11)(G)-1(d)(4) under the Investment Advisers Act of 1940) or a family office (as defined in Rule 202(a)(11)(G)-1(b) under the Investment Advisers Act of 1940) whose investment in the Partnership is directed by such family office;
- 5. A "knowledgeable employee" as provided as defined in rule 3c-5(b) under the Investment Company Act of 1940 (17 CFR §270.3c-5(b)); or
- 6. None.

B. QUALIFIED ELIGIBLE PERSON STATUS

Please indicate whether you are a "qualified eligible person" by ticking one or more of the following categories which are applicable to you. If no category is applicable, please check Number 12, "None."



WEN FUTURES

Wen Futures Capital

Persons Who Are “Accredited Investors” and Satisfy the “Portfolio Requirement”:

- 1. Any natural person who is an “accredited investor” as defined in Rule 501(a) under the Securities Act (as described in Section B, above) and who owns securities (including pool participations) of issuers not affiliated with such person and other investments with an aggregate market value of at least \$2,000,000;
- 2. Any natural person who is an “accredited investor” as defined in Rule 501(a) of the Securities Act (as described in Section B, above) and who has had on deposit with a futures commission merchant, for its own account at any time during the six-month period preceding date written on the Investor Signature Page (Section 3) below, at least \$200,000 in exchange-specified initial margin and option premiums for commodity interest transactions;
- 3. Any natural person who is an “accredited investor” as defined in Rule 501(a) of the Securities Act (as described in Section B, above) and who owns a portfolio comprised of a combination of the funds or property specified in paragraphs (1) and (2) of this Section C, in which the sum of the funds or property includable under paragraph (1), expressed as a percentage of the minimum amount required thereunder, and the amount of futures margin and option premiums includable under paragraph (2), expressed as a percentage of the minimum amount required thereunder, equals at least one hundred percent. An example of a composite portfolio acceptable under this paragraph (3) would consist of \$1,000,000 in securities and other property (50% of paragraph (1)) and \$100,000 in exchange-specified initial margin and option premiums (50% of paragraph (2));

Principals of Financial Institutions:

- 4. Any natural person who is a principal of a futures commission merchant registered pursuant to section 4d of the under the Commodity Exchange Act of 1936, as amended (the “*CEA*”);
- 5. Any natural person who is a principal of a broker or dealer registered pursuant to section 15 of the Securities Exchange Act of 1934;
- 6. Any natural person who is a principal of a broker or dealer registered pursuant to section 15 of the Securities Exchange Act of 1934; Any natural person who is a principal of a commodity pool operator registered pursuant to section 4m of the *CEA*; *provided*, that the commodity pool operator has been registered and active for at least two years or operates pools which, in aggregate, have total assets in excess of \$5,000,000;



WEN FUTURES

Wen Futures Capital

- 7. Any natural person who is a principal of a commodity trading advisor registered pursuant to section 4m of the CEA; *provided*, that the commodity trading advisor has been registered and active for at least two years or provides commodity interest trading advice to commodity accounts which, in the aggregate, have total assets in excess of \$5,000,000 deposited at one or more futures commission merchants;
- 8. Any natural person who is a principal of an investment adviser registered pursuant to section 203 of the Investment Advisers Act of 1940 or pursuant to the laws of any state; *provided*, that the investment adviser has been registered and active for at least two years or provides securities investment advice to securities accounts which, in the aggregate, have total assets in excess of \$5,000,000 deposited at one or more registered securities brokers;

Other Qualified Eligible Persons:

- 9. A “qualified purchaser” as defined in section 2(a)(51)(A) of the Investment Company Act of 1940;
- 10. A “Non-United States person” as defined in CFTC Regulation 4.7;
- 11. A “knowledgeable employee” as defined in 17 CFR 270.3c-5; or
- 12. None.